

44  
COPY OF PAPERS  
ORIGINALLY FILED

MP#

Please type a plus sign (+) inside this box ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-003  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

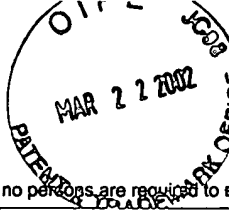
<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application	10/028,743
	Filing Date	December 20, 2001
	First Named	Azmi B. Osman
	Group Art Unit	1724
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	27006-0027

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>Executed Declaration and Power of Attorney; Return Receipt Postcard</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Douglas A. Mullen Dickinson Wright PLLC
Signature	
Date	March 21, 2002

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>		
Typed or printed name		
Signature		Date

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

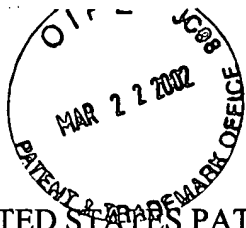
<b>FEE TRANSMITTAL</b> for FY 2002 <i>Patent fees are subject to annual revision.</i>		<b>Complete if Known</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	10/028,743
<b>TOTAL AMOUNT OF PAYMENT</b> \$910.00		Filing Date	December 20, 2001
		First Named Inventor	Azmi B. Osman
		Examiner Name	
		Group Art Unit	1724
		Attorney Docket No.	27006-0027

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																																																															
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account: Deposit Account Number: 04-1061 Deposit Account Name: Dickinson Wright PLLC The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.		<b>3. ADDITIONAL FEES</b> <table border="1"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non - English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Processing fee under 37 CFR § 1.17(q)</td><td></td></tr><tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr><tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179</td><td>740</td><td>279</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="6">Other fee (specify) _____</td></tr><tr><td colspan="5"><b>SUBTOTAL (3)</b></td><td><b>\$170.00</b></td></tr></tbody></table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	105	130	205	65	Surcharge - late filing fee or oath	130.00	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non - English specification		147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	920	217	460	Extension for reply within third month		118	1,440	218	720	Extension for reply within fourth month		128	1,960	228	980	Extension for reply within fifth month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,280	241	640	Petition to revive - unintentional		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Processing fee under 37 CFR § 1.17(q)		126	180	126	180	Submission of Information Disclosure Statement		581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application		Other fee (specify) _____						<b>SUBTOTAL (3)</b>					<b>\$170.00</b>
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																												
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																														
105	130	205	65	Surcharge - late filing fee or oath	130.00																																																																																																																																																																																												
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																													
139	130	139	130	Non - English specification																																																																																																																																																																																													
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																													
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																													
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																													
115	110	215	55	Extension for reply within first month																																																																																																																																																																																													
116	400	216	200	Extension for reply within second month																																																																																																																																																																																													
117	920	217	460	Extension for reply within third month																																																																																																																																																																																													
118	1,440	218	720	Extension for reply within fourth month																																																																																																																																																																																													
128	1,960	228	980	Extension for reply within fifth month																																																																																																																																																																																													
119	320	219	160	Notice of Appeal																																																																																																																																																																																													
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																																													
121	280	221	140	Request for oral hearing																																																																																																																																																																																													
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																													
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																													
141	1,280	241	640	Petition to revive - unintentional																																																																																																																																																																																													
142	1,280	242	640	Utility issue fee (or reissue)																																																																																																																																																																																													
143	460	243	230	Design issue fee																																																																																																																																																																																													
144	620	244	310	Plant issue fee																																																																																																																																																																																													
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																													
123	50	123	50	Processing fee under 37 CFR § 1.17(q)																																																																																																																																																																																													
126	180	126	180	Submission of Information Disclosure Statement																																																																																																																																																																																													
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00																																																																																																																																																																																												
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																													
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																													
179	740	279	370	Request for Continued Examination (RCE)																																																																																																																																																																																													
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																													
Other fee (specify) _____																																																																																																																																																																																																	
<b>SUBTOTAL (3)</b>					<b>\$170.00</b>																																																																																																																																																																																												
<b>1. BASIC FILING FEE</b> <table border="1"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td>740.00</td></tr><tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td></td></tr><tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td></td></tr><tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td></td></tr><tr><td>114</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="5"><b>SUBTOTAL (1)</b></td><td><b>\$740.00</b></td></tr></tbody></table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	101	740	201	370	Utility filing fee	740.00	106	330	206	165	Design filing fee		107	510	207	255	Plant filing fee		108	740	208	370	Reissue filing fee		114	160	214	80	Provisional filing fee		<b>SUBTOTAL (1)</b>					<b>\$740.00</b>	<b>2. EXTRA CLAIM FEES FOR UTILITY AND</b> <table border="1"><thead><tr><th colspan="2">Extra Claims</th><th rowspan="2">Fee from below</th><th rowspan="2">Fee Paid</th></tr><tr><th>Total Claims</th><th>-20** =</th></tr></thead><tbody><tr><td>18</td><td>-20** = 0</td><td>X</td><td>0.00</td></tr><tr><td>Independent Claims</td><td>3 - 3** = 0</td><td>X</td><td>0.00</td></tr><tr><td>Multiple Dependent</td><td></td><td></td><td></td></tr></tbody></table> <table border="1"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>109</td><td>84</td><td>209</td><td>42</td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="5"><b>SUBTOTAL (2)</b></td><td><b>\$0.00</b></td></tr></tbody></table>		Extra Claims		Fee from below	Fee Paid	Total Claims	-20** =	18	-20** = 0	X	0.00	Independent Claims	3 - 3** = 0	X	0.00	Multiple Dependent				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	103	18	203	9	Claims in excess of 20		102	84	202	42	Independent claims in excess of 3		104	280	204	140	Multiple dependent claim, if not paid		109	84	209	42	** Reissue independent claims over original patent		110	18	210	9	** Reissue claims in excess of 20 and over original patent		<b>SUBTOTAL (2)</b>					<b>\$0.00</b>																																																																																
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																												
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																														
101	740	201	370	Utility filing fee	740.00																																																																																																																																																																																												
106	330	206	165	Design filing fee																																																																																																																																																																																													
107	510	207	255	Plant filing fee																																																																																																																																																																																													
108	740	208	370	Reissue filing fee																																																																																																																																																																																													
114	160	214	80	Provisional filing fee																																																																																																																																																																																													
<b>SUBTOTAL (1)</b>					<b>\$740.00</b>																																																																																																																																																																																												
Extra Claims		Fee from below	Fee Paid																																																																																																																																																																																														
Total Claims	-20** =																																																																																																																																																																																																
18	-20** = 0	X	0.00																																																																																																																																																																																														
Independent Claims	3 - 3** = 0	X	0.00																																																																																																																																																																																														
Multiple Dependent																																																																																																																																																																																																	
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																												
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																														
103	18	203	9	Claims in excess of 20																																																																																																																																																																																													
102	84	202	42	Independent claims in excess of 3																																																																																																																																																																																													
104	280	204	140	Multiple dependent claim, if not paid																																																																																																																																																																																													
109	84	209	42	** Reissue independent claims over original patent																																																																																																																																																																																													
110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																													
<b>SUBTOTAL (2)</b>					<b>\$0.00</b>																																																																																																																																																																																												
**or number previously paid, if greater; For Reissues, see above		*Reduced by Basic Filing Fee Paid																																																																																																																																																																																															

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>			
Name (Print/Type)	Douglas A. Mullen	Registration No. (Attorney/Agent)	38,569	Telephone	(202) 457-0160
Signature	<i>Douglas A. Mullen</i>	Date	March 21, 2002		

WARNING: Information on this form may be made public. Credit card information should not be included on this form. Provide credit card information and authorization on

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1724  
Inventor: Azmi B. Osman  
Serial No. 10/028,743  
Filed: December 20, 2001  
For: Oil Filter Adaptor Flange  
Attorney Docket: 27006-0027

---

COPY OF PAPERS  
ORIGINALLY FILED

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

TRANSMITTAL OF ORIGINAL DECLARATION; ASSIGNMENT  
AND CERTIFIED COPY OF MALAYSIAN APPLICATION

Sir:

In response to the Notice To File Missing Parts of Nonprovisional Application mailed February 4, 2002 (copy enclosed), enclosed please find an executed Declaration and Power of Attorney for the above-identified application. In addition, Applicant submits herewith an Assignment with attached coversheet. Please record this Assignment and return same to us at your convenience.

In support of Applicant's priority claim made in the Declaration of this application, enclosed herewith is the certified copy of Malaysian Application No. PI 2000 6078, filed December 21, 2000, pursuant to the provisions of 35 U.S.C. 119, please enter this document into the file.

Attorney Docket: 27006-0027  
Serial No.: 10/028,743

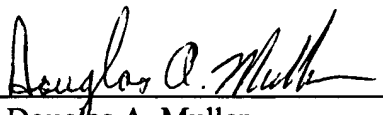
A check in the total amount of \$910.00 to cover the late-filing surcharge of \$130.00, the application filing fee of \$740.00, and assignment recordal fee of \$40.00 is also enclosed.

The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 04-1061.

Respectfully submitted,

DICKINSON WRIGHT PLLC  
Attorneys for Applicants

Date: March 21, 2002

By:   
Douglas A. Mullen  
Reg. No. 38,569

Dickinson Wright PLLC  
1901 L. Street NW  
Suite 800  
Washington, D.C. 20231  
(202) 457-0160

DAM/gmp  
Enclosures

BLOOMFIELD 27006-27 480636

A3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/028,743	12/20/2001	Azmi B. Osman	27006-0027

CONFIRMATION NO. 9912

## FORMALITIES LETTER



\*OC000000007416730\*

Douglas A. Mullen  
Dickinson Wright PLLC  
Suite 800  
1901 L Street, NW  
Washington, DC 20036

Date Mailed: 02/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/25/2002 HROCHA1 00000107 10028743

01 FC:101  
02 FC:105

740.00 OP  
130.00 OP